



3762

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wang et al.

Serial No.: 10/075,485

Examiner: Unknown

Filed: February 12, 2002

Group Art Unit: 3762

For: EMBOLIC PROTECTION DEVICE

Docket No.: 1001.1476101

TRANSMITTAL SHEET

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RECEIVED

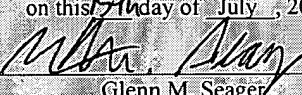
JUL 23 2003

Sir:

TECHNOLOGY CENTER R3700

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,
on this Friday of July, 2003.

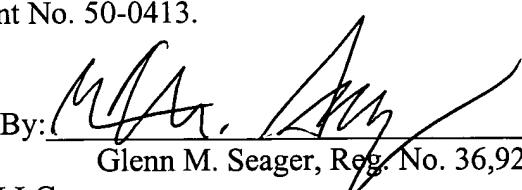
By:


Glenn M. Seager

We are transmitting herewith the attached:

- Information Disclosure Statement.
- Form 1449 with Two Hundred and Two (2) references.
- Certification for Information Disclosure Statement Under 37 CFR §1.97(e).
- Postcard receipt.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:


Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue
Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



28075

PATENT TRADEMARK OFFICE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wang et al.

Serial No.: 10/075,485

Examiner: Unknown

Filed: February 12, 2002

Group Art Unit: 3762

For: EMBOLIC PROTECTION DEVICE

RECEIVED

Docket No.: 1001.1476101

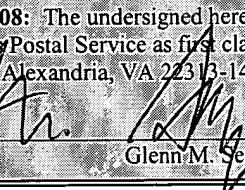
JUL 23 2003

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17 day of July, 2003.

By


Glenn M. Seager

Dear Sirs:

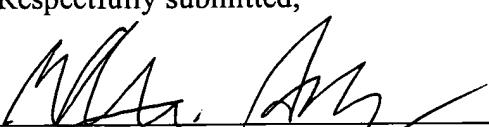
INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

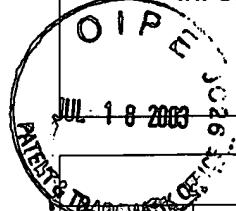
Dated: July 15, 2003


Glenn M. Seager, Reg. No. 36,926
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



28075

| | | |
|--|---|--|
| FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION <i>(Use several sheets if necessary)</i> | Docket Number: 1001.1476101 | Application Number: 10/075,485 |
| | Applicant: Wang et al. | |
| | Filing Date: February 12, 2002 | Group Art Unit: 3762 |



U.S. Patent Documents

~~RECEIVED~~

JUL 23 2003

TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

| | | | | | |
|--|------------------------|-----------------|------------|--|--|
| | EP 1 177 777 A2 | 07/31/01 | EPO | | |
| | WO 01/45590 A2 | 08/28/01 | PCT | | |
| | | | | | |
| | | | | | |
| | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|--|--|
| | | |
| | | |
| | | |
| | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wang et al.

Serial No.: 10/075,485

Examiner: Unknown

Filed: February 12, 2002

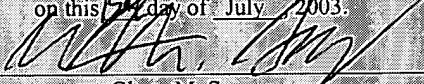
Group Art Unit: 3762

For: EMBOLIC PROTECTION DEVICE

Docket No.: 1001.1476101

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

| | |
|--|---|
| <p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this <u>18</u> day of <u>July</u>, <u>2003</u>.</p> | |
| By: |  Glenn M. Seager |

Dear Sir:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

RECEIVED

JUL 23 2003

TECHNOLOGY CENTER R3700

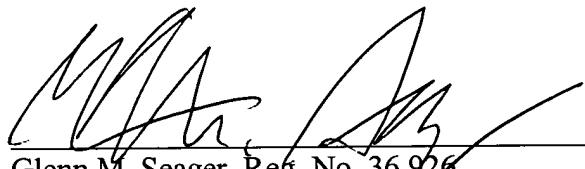
application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).

-----or

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Dated: July 15, 2003



Glenn M. Seager, Reg. No. 36,926
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



28075

PATENT TRADEMARK OFFICE